

#1 *Received
PCT*

COPY OF PAPERS
ORIGINALLY FILEDLAHIVE
&
COCKFIELD
L L P

COUNSELLORS AT LAW
28 STATE STREET
BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214
lc@lahive.com

JOHNIA L. BATIVE, JR. (1928-1997)
THOMAS V. SMURZYNSKI
RALPH A. LOREN
GIULIO A. DeCONTI, JR.
ANN LAMPORT HAMMITTE
ELIZABETH A. HANLEY
AMY BAKER MANDRAGOURAS
ANTHONY A. LAURENTANO
KEVIN J. CANNING
JANE E. REMILLARD
DeANN FORAN SMITH
PETER C. LAURO
JEANNE M. DIGIORGIO
DEBRA J. MILASINCIC, Ph.D.
DAVID J. RIKKERS
DAVID R. BURNS
JOHN S. CURRAN
SEAN D. DETWEILER

CYNTHIA L. KANIK, Ph.D.
MEGAN E. WILLIAMS, Ph.D.
RICA NAND
MICHAEL PHILLIPPS *
LISA M. DIROCCO
HATHAWAY P. RUSSELL **
MARIA LACCOOTRIPE ZACHARAKIS, Ph.D.
PETER A. DIMATTIA
VINCENT P. LOCCISANO
MERIDETH C. ARNOLD
SENIOR COUNSEL
JAMES E. COCKFIELD
OF COUNSEL
JEREMIAH LYNCH
WILLIAM A. SCOFIELD, JR.
SIBLEY P. REPPERT

PATENT AGENTS
THEODORE R. WEST
SHAYNE Y. HUFF, Ph.D.
DANIEL B. KO
TECHNICAL SPECIALISTS
CYNTHIA M. SOROS
PETER W. DINI, Ph.D.
EUIHOON LEE
JENNIFER K. ROSENFIELD
ALLAN TAMESHTIT, Ph.D.
CATHERINE E. MCPHERSON
ERIC F. WAGNER, Ph.D.
SHAHID HASAN, Ph.D.
JACOB G. WEINTRAUB
JONATHAN M. SPARKS, Ph.D.
CRISTIN E. HOWLEY, Ph.D.

* Admitted in NY only
** Admitted in TX only

March 18, 2002

Commissioner for Patents
Washington, D.C. 20231

RECEIVED

MAY 08 2002

TECH CENTER 1600/2900

Attn: Office of Initial Patent Examination
Customer Service Branch

Re: U.S. Patent Application Serial No. 09/830,762
(Based on International Patent Application Serial No. PCT/US99/25439)
Title: *"Lymphoma Associated Molecules and Uses Therefor"*
Inventors: Margaret Shipp *et al.*
Filing Date: April 27, 2001
Attorney Docket No. DFN-031US

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Request for Correction of Filing Receipt (1 page);
2. Copy of Official Filing Receipt with change noted in red (1 page);
3. Statement of Limited Recognition Under 37 C.F.R. §10.9(b)
for Maria C. Laccotripe (1 page); and
4. Prepaid acknowledgment postcard.

No costs are believed due in connection with the filing of this Request. However, please charge any necessary fees in connection with the enclosed filing to our Deposit Order Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *For this purpose, a duplicate of this sheet is attached.*

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, Attn: Office of Initial Patent Examination, Customer Service Branch on:

March 18, 2002

Date

Maria C. Laccotripe, Ph.D., J.D.
Limited Recognition Under 37 C.F.R. § 10.9(b)

LAHIVE & COCKFIELD, LLP

Attorneys at Law

By:

Maria C. Laccotripe, Ph.D.

Limited Recognition Under 37 C.F.R. § 10.9
Attorney for Applicants

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Margaret Shipp *et al.*

U.S. Serial No.: 09/830,762

U.S. Filing Date: April 27, 2001

International Serial No.: PCT/US99/25439

International Filing Date: October 29, 1999

For: "Lymphoma Associated Molecules and
Uses Therefor"

Attorney Docket No.: DFN-031US

Group Art Unit: 1645

Examiner: Not Yet Assigned

RECEIVED

MAY 08 2002

TECH CENTER 1600/2900

Commissioner for Patents
Washington, D.C. 20231

Attn: Office of Initial Patent Examination
Customer Service Center

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

The Filing Receipt for the above-identified patent application *incorrectly* spells the name of the second inventor. His name should appear as:

Ricardo Aguiar

instead of

Ricardo Agular

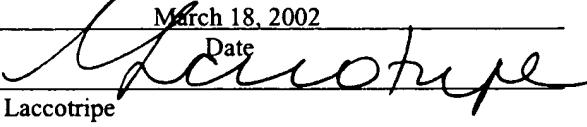
A copy of the Filing Receipt with this change noted in red is submitted herewith. Applicants' respectfully request that the Filing Receipt be corrected accordingly. Since this error resulted on the part of the U.S. Patent and Trademark Office, no fees are believed due for the requested correction. However, if fees are due in connection with this request, please charge them to our Deposit Account No. 12-0080.

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, Attn: Office of Initial Patent Examination, Customer Service Branch on:

March 18, 2002

Date

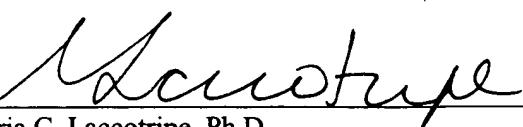

Maria C. Laccotripe

Limited Recognition Under 37 C.F.R. § 10.9(b)

LAHIVE & COCKFIELD, LLP

Attorneys at Law

By:


Maria C. Laccotripe, Ph.D.

Limited Recognition Under 37 C.F.R. § 10.9(b)
Attorney for Applicants

Date: March 18, 2002



COPY OF PAPERS
ORIGINALLY FILED

Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/830,762	10/12/2001	1645	904	DFN-031US	23	24	5

CONFIRMATION NO. 2130

FILING RECEIPT



OC000000007157403

Amy E Mandragouras
Lahive & Cockfield
28 State Street
Boston, MA 02109

Date Mailed: 12/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Margaret Shipp, Wellesley, MA;
Ricardo Aguiar, Chestnut Hill, MA;
Yoshi Yakushijin, Ehime, JAPAN;

Ricardo Aguiar RECEIVED

MAY 08 2002

TECH CENTER 1600/2900

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/US99/25439 10/29/1999
WHICH CLAIMS BENEFIT OF 60/106,383 10/29/1998
AND CLAIMS BENEFIT OF 60/106,448 10/30/1998

Foreign Applications

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

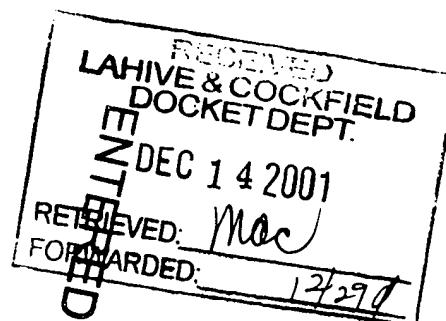
Early Publication Request: No

** SMALL ENTITY **

Title

Lymphoma associated molecules and uses therefor

Preliminary Class



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



COPY OF PAPERS
ORIGINALLY FILED

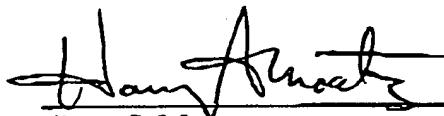
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Maria C. Laccotripe is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Lahive & Cockfield, LLP, to prepare and prosecute patent applications where the patent applicant is the client of Lahive & Cockfield, LLP, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Lahive & Cockfield, LLP. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Maria C. Laccotripe ceases to lawfully reside in the United States, (ii) Maria C. Laccotripe's employment with Lahive & Cockfield, LLP ceases or is terminated, or (iii) Maria C. Laccotripe ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: September 4, 2002



Harry I. Moatz
Director of Enrollment and Discipline